

Notice of a public meeting of

Audit & Governance Committee

To:	Councillors Cunningham-Cross (Chair), Barnes, Brooks (Vice-Chair), Burton, Cuthbertson, Watson and Steward
Date:	Wednesday, 12 December 2012
Time:	4.30 pm
Venue:	The Guildhall, York

AGENDA

1. Declarations of Interest

At this point, Members are asked to declare:

- Any personal interests not included on the Register of Interests
- Any prejudicial interests or
- Any disclosable pecuniary interests

which they may have in respect of business on this agenda.

2. Minutes (Pages 3 - 12)

To approve and sign the minutes of the meeting of the Audit and Governance Committee held on 27 September 2012.

3. Public Participation

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the Committee's remit can do so. The deadline for registering is **5:00 pm on Tuesday 11 December 2012.**

4. Audit and Governance Committee Forward Plan to September 2013 (Pages 13 - 18)

This paper presents the future plan of reports expected to be presented to the Committee during the forthcoming year to September 2013.

5. Key Corporate Risk Monitor Quarter 3 (Pages 19 - 32)

This report provides an update on the key corporate risks and highlights in more detail any emerging risk issues with a view to Members considering any further information they would wish to receive on these matters.

6. External Audit Fee Letter 2012/13- Mazars (Pages 33 - 38)

This paper introduces the External Audit 2012/13 fee planning letter prepared by Mazars.

7. Certification of Claims and Returns 2011/2012 - Audit Commission (Pages 39 - 48)

The purpose of this report is to allow consideration of the Certificate of Claims and Returns Report 2011/12 produced by the Audit Commission.

8. Internal Audit and Fraud Plan Progress Report (Pages 49 - 66)

This report provides an update on progress made in delivering the internal audit workplan for 2012-13 and on current counter fraud and information governance activity.

9. Audit and Governance Committee Effectiveness - Self Assessment (Pages 67 - 76)

The purpose of this report is to present the outcomes of the review of the Committee's own effectiveness.

10. Scrutiny of the Treasury Management Monitor 2 Report 2012/13 and review of Prudential Indicators (Pages 77 - 104)

This report provides an update on treasury management activity for the first six months of 2012/13.

11. Annual Financial Update for 2012/13 (Pages 105 - 108)

This report updates Members on the approach being taken for the Annual Financial Report (Statement of Accounts) for 2012/13 and also responds to the comments made in the Annual Governance Report.

12. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Name: Jayne Carr

Contact Details:

Telephone – (01904) 552030

Email – jayne.carr@york.gov.uk

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.